

# Ideals in Multivariate Poly Rings

we will work in poly ring  $R = K[x_1, \dots, x_n]$  for some field  $K$ . usually  $K = \mathbb{C}, \mathbb{R}$  (theorems)

↳ for comp.  $K = \mathbb{Q}$  or  $\mathbb{Z}/p\mathbb{Z}$

$R$  is an infinite dimensional v.s space with distinguished basis of monomials

$$x^a = x_1^{a_1} x_2^{a_2} \dots x_n^{a_n} \iff (a_1, \dots, a_n) \in \mathbb{N}^n$$

$$\text{If } f = \sum_a c_a x^a, \quad \deg(f) = \max \{ |a| = a_1 + \dots + a_n \mid c_a \neq 0 \}$$

An (affine) algebraic variety  $X \subseteq K^n$  is defined by  $f_1, \dots, f_r$

$$X = V(f_1, \dots, f_r) = \{ x \in K^n \mid f_1(x) = f_2(x) = \dots = f_r(x) = 0 \}$$

If  $I = \langle f_1, \dots, f_r \rangle = \langle g_1, \dots, g_s \rangle \subseteq K[x_1, \dots, x_n]$  is an ideal

$$\text{then } V(f_1, \dots, f_r) = V(g_1, \dots, g_s)$$

$$\therefore \text{ we write } V(I) = V(f_1, \dots, f_r)$$

## Operations on poly. Ideals

Given ideals  $I, J$  in  $R = K[x_1, \dots, x_n]$

i.e. sum of ideals  $\iff$  intersection of varieties

$$\bullet \text{ (sum) } I + J = \{ f + g \mid f \in I, g \in J \} \iff V(I + J) = V(I) \cap V(J)$$

$\bullet$  (ideal intersection)

$$I \cap J = \{ f \mid f \in I, \text{ and } f \in J \} \iff \text{union of varieties}$$
$$V(I \cap J) = V(I) \cup V(J).$$

$\bullet$  Ideal quotient

$$I : J = \{ f \in R \mid f \cdot J \subseteq I \} \iff$$

• Ideal Saturation

$$I : J^\infty = \{ f \in R \mid \exists n \in \mathbb{N} \text{ s.t. } f \cdot J^n \subseteq I \}$$

$$\uparrow$$

$$I : J^\infty = I : J^N \text{ for some } N$$

$$\updownarrow$$

$$V(I : J^\infty) = \overline{V(I) - V(J)}$$

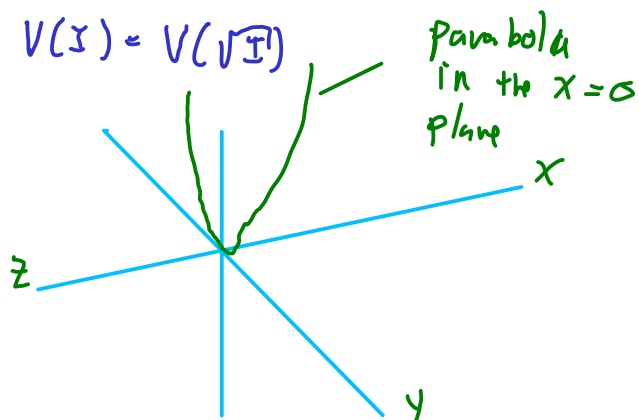
Zariski closure.

over an alg. closed field.

$$\sqrt{I} = \{ r \in R \mid r^n \in I \text{ for some } n \in \mathbb{N} \}$$

Check  $I \subseteq \sqrt{I}$  and  $V(I) = V(\sqrt{I})$

E.g.  $V(x^2, y^2 - z) = V(x, y^2 - z)$



### Types of ideals

Property	Def	$R/I$
$I$ is maximal	no proper ideal contains $I$	is a field
$I$ is prime	$f, g \in I \Rightarrow fg \in I$	is an integral domain
$I$ is radical	$\sqrt{I} = I$	has no nilpotent elements
$I$ is primary	$\sqrt{I}$ is prime	all zero divisors are nilpotent

Maximal  $\Rightarrow$  prime  $\Rightarrow$  primary  
 $\downarrow$   
 radical

### Monomial Orders and Gröbner basis

$\downarrow$  order on set of monomials  $x^a \in K[x_1, \dots, x_n]$

$\updownarrow$   
 order on set of vectors  $a \in \mathbb{N}^n$ .

Def (Monomial Order) Consider the following total ordering  $<$  on  $\mathbb{N}^n$  (write  $a \leq b$  if  $a < b$  or  $a = b$ )

The order  $<$  is a monomial order if  $\forall a, b, c \in \mathbb{N}^n$

- $(0, \dots, 0) \leq a$ , i.e.  $1 \leq x^a$
- $a < b \Rightarrow a + c < b + c$ , i.e.  $x^a < x^b \Rightarrow x^a \cdot x^c < x^b \cdot x^c$ .

Ex Lex Order  $a = (a_1, \dots, a_n)$ ,  $b = (b_1, \dots, b_n)$

$x^a < x^b$  if  $b_m - a_m > 0$   $m = \text{last non zero entry in } b$

Degree/Graded lex order (Deglex or Glex)

$x^a < x^b$  if

- $|a| < |b|$ , i.e.  $\deg(x^a) < \deg(x^b)$
- if  $|a| = |b|$  and  $b_m - a_m > 0$

Degree/Graded Reverse lex order (Grevlex)

- $\deg(x^a) < \deg(x^b)$
- if  $\deg(x^a) = \deg(x^b)$  and  $b_m - a_m < 0$

Given a monomial order  $<$  on  $R = k[x_1, \dots, x_n]$  and  $f \in R$

$\text{in}_<(f) = \text{LM}_<(f) = \text{largest monomial in } f \text{ w.r.t } <$

$$f = 7x^2 + 3xz^2 + 2y^2$$

$$\text{in}_{\text{lex}}(f) = x^2, \quad \text{in}_{\text{deglex}}(f) = xz^2, \quad \text{in}_{\text{grevlex}}(f) = y^3$$

$LC_2(f)$  = coefficient of the lead monomial?

$$LT_2(f) = LC_2(f) \cdot LM(f).$$

Def (Initial / Lead term ideal)

For an ideal  $I$ , the initial ideal or lead term ideal of  $I$  w.r.t  $\prec$

$$in_2(I) = LT_2(I) = \langle LT_2(f) \mid f \in I - \{0\} \rangle$$

Fact (Dickson's lemma) : we can always find a finite generating set for  $LT_2(I)$ .

Proposition / Definition | Every ideal  $I$  has a finite set  $G$  s.t.

$$LT_2(I) = \langle LT_2(f) \mid f \in G \rangle$$

The set  $G$  is called a Gröbner basis for  $I$  w.r.t.  $\prec$ .

Further  $I = \langle g_1, \dots, g_r \rangle$  where  $G = \{g_1, \dots, g_r\}$ .

Cor (Hilbert's Basis Theorem) Every ideal  $I$  in  $k[x_1, \dots, x_n]$  is finitely generated.

Def | Fix  $I, \prec$ . A Gröbner basis  $G$  for  $I$  is reduced if

- $LC(g) = 1 \quad \forall g \in G$

- for  $g \neq h$ ,  $g, h \in G$ , no monomial in  $g$  is a multiple of  $LM_2(h)$ .

Thm Every ideal  $I$  has a unique reduced Gröbner basis w.r.t to  $\prec$ .

Upshot There is an algorithm to compute GB (Buchberger's Alg.)

This allows to automatically test equality of ideals.

## The Zariski Topology

Idea: Put a topology on  $k^n$  where the closed sets are algebraic varieties  $V(I)$ .

Def ( $I$  irreducible variety)  $V(I)$  is irreducible iff for all ideals  $J, J'$  in  $k[x_1, \dots, x_n]$  we have

$$V(I) = V(J) \cup V(J') \Rightarrow \begin{aligned} V(I) &= V(J) \\ \text{or} \\ V(I) &= V(J') \end{aligned}$$

Ex  $I = \langle x, y \rangle = \langle x \rangle \cap \langle y \rangle$

$$V(x) \subseteq V(I)$$

$$\langle x \rangle \supseteq I$$

$$V(I) = V(x) \cup V(y)$$



These components are irreducible.

Def Given a subset  $w \subseteq k^n$

$$I(w) = \{ f \in k[x_1, \dots, x_n] \mid f(p) = 0 \forall p \in w \}$$

Note:  $w$  is a variety iff  $w = V(I(w))$

•  $I(w) \subseteq I(v)$  iff  $v \subseteq w$

•  $I(w)$  is a radical ideal.

Prop A variety  $w \subseteq k^n$  is irreducible iff  $I(w)$  is prime

Fact / (Zariski topology)  $k^n$  is a topological space under the Zariski topology

- closed sets are all varieties  $V(I)$  for some  $I \subseteq k[x_1, \dots, x_n]$
- open sets are complements of closed sets, i.e.  $k^n - V(I)$  for some  $I$ .

Zariski closure / Given a set  $U \subseteq k^n$  its Zariski closure is the smallest variety  $V(I)$  s.t.

$$U \subseteq V(I).$$

write  $\overline{U} = V(I)$ .

Thm (Hilbert's Nullstellensatz) Given an ideal  $J$  in  $k[x_1, \dots, x_n]$  with  $k$  alg. closed

$$I(V(J)) = \sqrt{J}.$$

E.g.  $I(V(x^2, y)) = \langle x, y \rangle = \sqrt{\langle x^2, y \rangle}$

Def The Spectrum of the ring  $R$  is the set of proper prime ideals

any commutative ring with identity.

$k[x_1, \dots, x_n]$

$$\text{Spec}(R) := \{ P \subseteq R \mid P \text{ is a prime ideal} \}$$

This is a topological space with the Zariski topology where

the closed sets are the varieties

$$V(I) = \{ p \in \text{Spec}(R) \mid I \subseteq p \}$$

Consider  $\text{Spec}(K[x_1, \dots, x_n])$ , this contains all

points  $(p_1, \dots, p_n) \in K^n$  represented by the

$$\text{maximal ideal } \langle x_1 - p_1, \dots, x_n - p_n \rangle$$

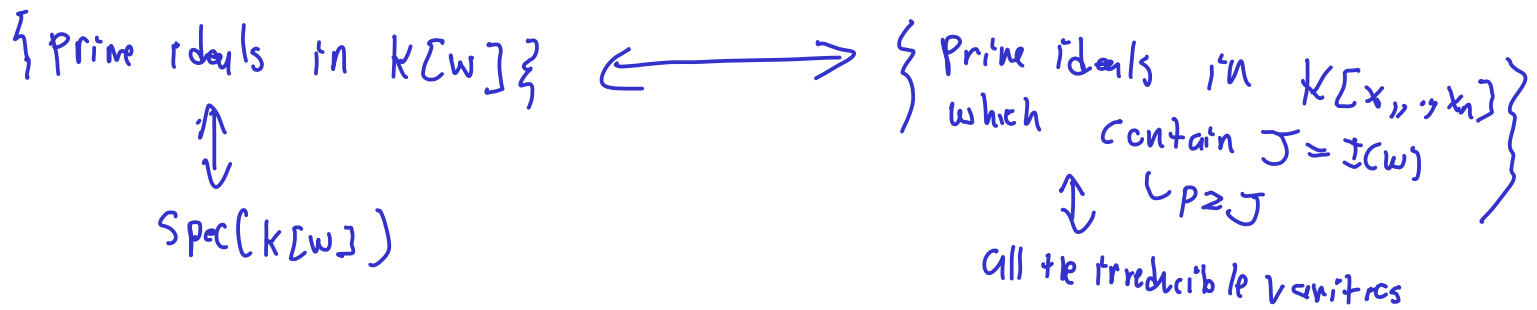
However  $\text{Spec}(K[x_1, \dots, x_n])$  also contains a "point" corresponding to each irreducible subvariety of  $K^n$ .

Fact: The Zariski topology on  $K^n$  is induced by the Zariski topology on  $\text{Spec}(K[x_1, \dots, x_n])$

Def (Coordinate Ring of a variety) Given a subvariety  $W \subseteq K^n$  define the coordinate ring of  $W$

$$K[W] := K[x_1, \dots, x_n] / I(W)$$

This induces a bijection



in particular each point  $P = (p_1, \dots, p_n) \in W$

corresponds to max. ideal of  $P$ ,  $\langle x_1 - p_1, \dots, x_n - p_n \rangle$

$$V(P) \subseteq V(J) = W$$

# Mapping, Projection, and Elimination

Consider the projection,  $m < n$

$$\pi: k^n \longrightarrow k^m$$

$$(p_1, \dots, p_n, p_{m+1}, \dots, p_n) \longmapsto (p_1, \dots, p_m)$$

If  $V$  is a variety in  $k^n$ ,  $\pi(V)$  need not be.

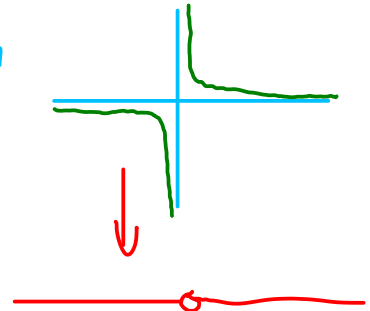
Ex:  $n=2, m=1$ ,  $V = V(xy-1)$  ← hyperbola

$$\pi: k^2 \rightarrow k$$

$$\pi(V) = k - \{0\}$$

↑ this is not a variety

$$\overline{\pi(V)} = k$$



Hence when looking at the image of a map, we take its Zariski closure

to get closed image  $\overline{\pi(V)} \cong$  Zariski closure of  $\pi(V)$ .

Thm | Let  $I \subseteq k[x_1, \dots, x_n]$  be an ideal,  $V = V(I) \subseteq k^n$ ,  $k$  alg. closed.  
 $\pi: k^n \rightarrow k^m$  the projection with  $m < n$ , then

$$\overline{\pi(V)} = V(J) \quad \text{where}$$

$$J = I \cap k[x_1, \dots, x_m]$$

Further if  $G$  is a Gröbner basis for  $I$  w.r.t lex (or can of elimination order) then

$G^1 = G \cap k[x_1, \dots, x_m]$  is a Gröbner basis for  $J$

$$\uparrow G^1 = \{g_1(x_1, \dots, x_m), \dots, g_r(x_1, \dots, x_m), g_{r+1}(x_1, \dots, x_n), \dots, g_s(x_1, \dots, x_n)\}$$



$$Q = \{g_1, \dots, g_r\}$$

$J = \langle g_1, \dots, g_r \rangle$  is called the elimination ideal.

### maps and implicitization

Consider a map

$$Q : k^n \longrightarrow k^m$$

$$(p_1, \dots, p_n) \longmapsto (Q_1(p), \dots, Q_m(p))$$

for poly.  $Q_1, \dots, Q_m$  in  $k[t_1, \dots, t_n]$

Ex  $n=2, m=3, \quad Q = (t_1, t_1 t_2, t_1 t_2^2)$

$$\overline{Q(k^2)} = V(x_1 x_3 - x_2^2)$$

Take  $p = (0, 0, 1)$ ,  $p \notin \overline{Q(k^2)}$  as if

$$(0, 0, 1) \neq (t_1, t_1 t_2, t_1 t_2^2) \Leftrightarrow t_1 = 0 \Leftrightarrow (0, 0, 0)$$

but  $p \in V(x_1 x_3 - x_2^2)$

Note: take  $t_1 = \epsilon^2, t_2 = \frac{1}{\epsilon}, Q = (\epsilon^2, \epsilon, 1)$

$\therefore \lim_{\epsilon \rightarrow 0} Q = (0, 0, 1)$ .

Thm Given a map  $Q : k^n \rightarrow k^m$  as above

$$I = \langle Q_1(t_1, \dots, t_n) - x_1, \dots, Q_m(t_1, \dots, t_n) - x_m \rangle \subseteq k[x_1, \dots, x_m, t_1, \dots, t_n]$$

$$\overline{Q(k^n)} = V(J) \quad \text{where} \quad J = I \cap k[x_1, \dots, x_m]$$

If  $X \subseteq k^n$ ,  $I_X = I(X)$ , then

$$\overline{\varphi(X)} = V(J_X) \text{ for } J_X = (I + I_X) \cap k[x_1, \dots, x_m]$$

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A toric variety is the closed image of a map defined by monomials.