

Thm | If  $E$  is a finite extension of  $F$ ,  $K$  is a finite extension of  $E$ , then  $K$  is a finite extension of  $F$  ( $F \subseteq E \subseteq K$ ) and

$$[K:F] = [K:E][E:F]$$

$\quad \quad \quad \parallel \quad \quad \parallel$   
 $\quad \quad \quad m \quad \quad n$

Proof: Let  $\{\alpha_1, \dots, \alpha_n\}$  be a basis for  $E$  as an  $F$ -vector space and  $\{\beta_1, \dots, \beta_m\}$  be a basis for  $K$  as a  $E$  vector space

$$F \subseteq E \subseteq K$$

Show  $\{\alpha_i \beta_j\}$  forms a basis for  $K$  over  $F$

Show spans  $K$ . Let  $u \in K$  arbitrary, then

$$u = \sum_{i=1}^m b_i \beta_i \quad \text{and} \quad b_i \in E$$

Since  $b_i \in E \Rightarrow b_i = \sum_{j=1}^n a_{ij} \alpha_j, \quad a_{ij} \in F$

$$\therefore u = \sum_{i=1}^m \sum_{j=1}^n \overset{\in F}{a_{ij}} \beta_i \alpha_j$$

$\therefore \{ \alpha_j \beta_i \mid i=1, \dots, m, j=1, \dots, n \}$  spans  $K$  over  $F$ .

Show  $\{\alpha_i \beta_j\}$  is  $nm$ -independent

$$u = \sum_{i=1}^n \sum_{j=1}^m c_{ij} \alpha_i \beta_j = 0 \in K, \quad c_{ij} \in F$$

$$= \sum_{j=1}^m \left( \underbrace{\sum_{i=1}^n c_{ij} \alpha_i}_{\in E} \right) \beta_j = 0$$

since  $\beta_j$  are lin. ind. over  $E$

$$\Rightarrow \sum_{i=1}^n c_{ij} \alpha_i = 0$$

$\Rightarrow c_{ij} = 0$  since  $\alpha_i$ 's are lin. ind. over  $F$

$\therefore \{ \alpha_i \beta_j \}$  is a basis □

Cor) If  $F_i$  is a field,  $i=1, \dots, k$ ,  $F_1 \subset \dots \subset F_k$

and if  $F_{i+1}$  is a finite extension of  $F_i$  then

$F_k$  is a finite extension of  $F_1$  and

$$[F_k : F_1] = [F_k : F_{k-1}] [F_{k-1} : F_{k-2}] \dots [F_2 : F_1].$$

Cor | Let  $E$  be an extension field of  $F$ . If  $\alpha \in E$  is alg. over  $F$  with minimal poly.  $p(x)$  and  $\beta \in F(\alpha)$  with min poly  $q(x)$  (associated to  $F(\alpha)$  over  $F(\beta)$ ) then

$$\deg(q(x)) \mid \deg(p(x)).$$

Proof:

$$\deg(p(x)) = [F(\alpha) : F]$$

$$\deg(q(x)) = [F(\beta) : F]$$

$$F \subset F(\beta) \subset F(\alpha) \subset E$$

$$[F(\alpha) : F] = [F(\alpha) : F(\beta)] \cdot [F(\beta) : F]$$

$\begin{matrix} = \deg(p(x)) & & = \deg(q(x)) \end{matrix}$

$$\therefore \text{Since } [F(\beta) : F] \in \mathbb{Z}_+$$

$$\Rightarrow \deg(q(x)) \mid \deg(p(x))$$

Ex] Determine  $\mathbb{Q}(\sqrt{3} + \sqrt{5})$

The min. poly of  $\sqrt{3} + \sqrt{5}$  is

$$x^4 - 16x^2 + 4$$

$$[\mathbb{Q}(\sqrt{3} + \sqrt{5}) : \mathbb{Q}] = 4$$

•  $\{1, \sqrt{3}\}$  is a basis for  $\mathbb{Q}(\sqrt{3})$  over  $\mathbb{Q}$   
with min poly  $x^2 - 3$

•  $\{1, \sqrt{5}\}$  is a basis for  $\mathbb{Q}(\sqrt{5})$  over  $\mathbb{Q}$ , with  
min poly  $x^2 - 5$ .

$\{1, \sqrt{3}, \sqrt{5}, \sqrt{3} \cdot \sqrt{5}\}$  is a basis for  $\mathbb{Q}(\sqrt{3}, \sqrt{5})$  over  $\mathbb{Q}$

and  $\dim_{\mathbb{Q}}(\mathbb{Q}(\sqrt{3}, \sqrt{5})) = 4$ , i.e.  $[\mathbb{Q}(\sqrt{3}, \sqrt{5}) : \mathbb{Q}] = 4$

$$\sqrt{3} + \sqrt{5} \in \mathbb{Q}(\sqrt{3}, \sqrt{5})$$

$$\therefore \mathbb{Q}(\sqrt{3} + \sqrt{5}) = \mathbb{Q}(\sqrt{3}, \sqrt{5})$$

and  $\nearrow$  this is actually a simple extension  
of degree 4

can have  $F(\alpha_1, \dots, \alpha_n) = F(\alpha) \cong F[x] / \langle p(x) \rangle$

Note  $[\mathbb{Q}(\sqrt{3}, \sqrt{5}) : \mathbb{Q}(\sqrt{3})] = 2$

and min. poly of  $\sqrt{5}$  over  $\mathbb{Q}(\sqrt{3})$  is  
still  $x^2 - 5$ .

Thm Let  $E$  be a field extension of  $F$ . The following  
are equivalent

- 1)  $E$  is a finite extension of  $F$
- 2)  $\exists$  a finite number of algebraic elements  
 $\alpha_1, \dots, \alpha_n \in E$  s.t.  $E = F(\alpha_1, \dots, \alpha_n)$
- 3) There exists a sequence of fields

$$E = F(\alpha_1, \dots, \alpha_n) \supseteq F(\alpha_1, \dots, \alpha_{n-1}) \supseteq \dots \supseteq F(\alpha_1) \supseteq F$$

Thm Let  $E$  be a field extension of  $F$ . The set  $\mathcal{A}_F$  of  
elements in  $E$  that are algebraic over  $F$  form a  
field.

Proof: Let  $\alpha, \beta \in \mathcal{A}_F$  i.e.  $\alpha, \beta$  are alg. over  $F$

$\Rightarrow F(\alpha, \beta)$  is a finite extension

and all elements of  $F(\alpha, \beta)$  are alg. over  $F$

$$\therefore \alpha \pm \beta, \alpha\beta, \frac{\alpha}{\beta}, \frac{1}{\beta}, \frac{1}{\alpha} \quad (\beta \neq 0, \alpha \neq 0 \text{ respectively})$$

are algebraic over  $F$   $\in \mathcal{A}_F$

$\therefore \mathcal{A}_F$  is a field.  $\blacksquare$

Cor The set of all algebraic numbers = algebraic elements of  $\mathbb{C}$  over  $\mathbb{Q}$  is a field.

- set of all numbers in  $\mathbb{C}$  which are the roots of some polynomial  $P(x) \in \mathbb{Q}[x]$

Def Let  $E$  be a field extension of  $F$ .

$\overline{F} =$  algebraic closure of  $F$  in  $E$  is the

field consisting of all  $\alpha \in E$  s.t.  $\alpha$  is alg. over  $F$ .

$\cdot F$  is algebraically closed ( $F = \overline{F}$ ) if every non-constant polynomial in  $F[x]$  has a root in  $F$

Ex  $\overline{\mathbb{Q}} =$  set (field) of algebraic numbers

$\mathbb{C}$  is algebraically closed

Thm | A field  $F$  is algebraically closed iff

every non-constant poly. factors into linear factors in  $F[x]$ .

Proof (Sketch) Assume alg. closed:

$\forall p(x) \in F[x]$ ,  $\deg(p(x)) = n$ , have a zero in  $F$

Suppose  $\alpha \in F$  is this zero,  $p(\alpha) = 0$

$$p(x) = (x - \alpha) \overbrace{q_1(x)}^{\deg(q_1(x)) = \deg(p) - 1}$$

[new repeat for  $q_1(x) \in F[x]$ ]

this gives a linear factorization.

Conversely, if we have a linear factorization for any poly, then the roots must be in  $F$ . ■

Cor | An algebraically closed field  $F$  has no proper algebraic extension  $E$ .

Thm | Every field  $F$  has a unique algebraic closure (upto isomorphism).

Thm / (Fundamental thm. of Alg)  
 $\mathbb{C}$  is algebraically closed.

# Splitting Fields

Q: Over what (smallest) extension field may we factor  $p(x) \in F[x]$  into linear factors?

Def:

Let  $F$  be a field,  $p(x) \in F[x]$ ,  $\deg(p) = n \geq 1$

An extension field  $E$  of  $F$  is a splitting field of  $p(x)$

iff  $\exists \alpha_1, \dots, \alpha_n \in E$  s.t.  $E = F(\alpha_1, \dots, \alpha_n)$  and  
 $p(x) = (x - \alpha_1) \cdots (x - \alpha_n)$

•  $p(x) \in F[x]$  splits in  $E$  iff it is the product of linear factors in  $E[x]$ .

Ex  $p(x) = x^4 + 2x^2 - 8 \in \mathbb{Q}[x]$   
 $= (x^2 - 2)(x^2 + 4)$

Splitting field of  $p(x) = \mathbb{Q}(\sqrt{2}, i) = \mathbb{Q}(-\sqrt{2}, \sqrt{2}, -2i, 2i)$

$$p(x) = (x - \sqrt{2})(x + \sqrt{2})(x - 2i)(x + 2i)$$

Q: Are splitting fields unique?

A: Yes, up to isomorphisms of  $F$

isomorphism of fields

Lemma: Let  $\phi: E \xrightarrow{\sim} F$ ,  $\phi$  is an isomorphism,  $E \subseteq K$ ,  $K$  an extension field of  $E$ ,  $\alpha \in K$  alg. over  $F$  with min. poly  $p(x)$

$F \subseteq L$ ,  $\beta$  is a root of  $\phi(p(x))$ . Then  $\phi$  extends to a unique isomorphism  $\bar{\phi}: E(\alpha) \rightarrow F(\beta)$  s.t.  $\bar{\phi}(\alpha) = \beta$

and  $\bar{\phi}(E) = \phi(E)$   
 $\uparrow$  same as  $\theta$  on  $E$ .

Proof sketch

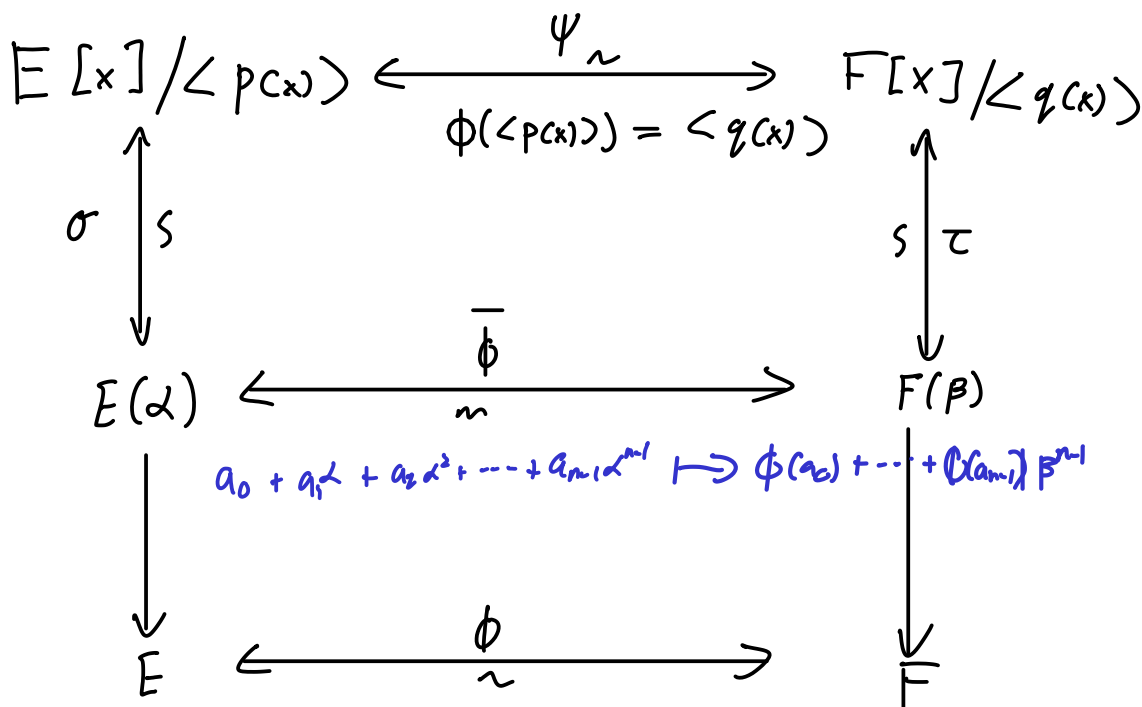
Idea:  $\phi: E \xrightarrow{\sim} F$   
 $\downarrow$  isomorphism

gives an isomorphism

$$\phi: E[x] \rightarrow F[x]$$

$$a_0 + a_1x + \dots + a_nx^n \mapsto \phi(a_0) + \phi(a_1)x + \dots + \phi(a_n)x^n$$

induces an isomorphism  $E(\alpha) \rightarrow F(\beta)$   
 where min. poly of  $\beta$  over  $F = q(x) = \phi(p(x))$   
 min. poly. of  $\alpha$  over  $E$ .



$\square$

Thm |  $\phi: E \rightarrow F$  is an isomorphism of fields  
 $p(x) \in E[x]$  non-constant,  $q(x) = \phi(p(x))$ . If  $K$  is a  
 splitting field of  $p(x)$  and  $L$  is a splitting field of  $q(x)$



then  $\phi$  extends to an isomorphism  $\psi: K \rightarrow L$ .

Cor | Let  $p(x) \in F[x]$ . Then there exists a unique (upto isomorphism) splitting field of  $p(x)$ .

Ex |  $x^2 - 4 = (x+2)(x-2) \Rightarrow$  Splitting field is  $\mathbb{Q}$

$x^2 + 4 \Rightarrow$  Splitting field  $\mathbb{Q}(i) = \mathbb{Q}(2i, -2i)$

||  
 $(x+2i)(x-2i)$

$x^2 + 2 \Rightarrow$  Splitting field is  $\mathbb{Q}(\sqrt{2}, i) = \mathbb{Q}(-i\sqrt{2}, i\sqrt{2})$

||  
 $(x - i\sqrt{2})(x + i\sqrt{2})$

### Structure of a finite field

Prop | If  $F$  is a finite field  $\Rightarrow \text{char}(F) = p, p$  Prime

Proof: If  $\text{char}(F) = n, n$  composite

then  $n = ij$

$\alpha = \underbrace{1 + \dots + 1}_{i \text{ times}}$

and  $\beta = \underbrace{1 + \dots + 1}_{j \text{ times}}$  are in  $F$

Check  $\alpha \cdot \beta = 0$

#

Running assumption  $p = \text{Prime}$ .

•  $\mathbb{Z}/p\mathbb{Z}$  is a finite field of characteristic  $p$

what about  $|F| = n$  where  $p|n$

i.e.  $|F| = p^m$  ?

Prop | If  $F$  is a finite field ( $\text{char}(F) = p$ ),

then  $|F| = p^n$  for some  $n \in \mathbb{N}$

Proof:

Define a ring hom by

$$\begin{aligned} \phi: \mathbb{Z} &\longrightarrow F \\ n &\longmapsto n \cdot 1 = \underbrace{1+1+\dots+1}_{n \text{ times}} \end{aligned}$$

$$\text{Char}(F) = p \quad \therefore \quad \ker(\phi) = p\mathbb{Z}$$

$\therefore$  by 1st iso. theorem

$$\phi(\mathbb{Z}) \cong \mathbb{Z}/p\mathbb{Z}$$

$\uparrow$   
this is a sub field of  $F$

Let  $K = \phi(\mathbb{Z})$ , Since  $F$  is a finite field

$\Rightarrow$  finite extension of  $K$ .

$\therefore F$  is  $\rightarrow$  finite dimensional vector space over  $K$ , say  $\dim_K(F) = n$

i.e.  $[F:K] = n$

$\therefore \exists$  a basis of  $F$ , say  $\alpha_1, \dots, \alpha_n \in F$

$\therefore$  for any  $\alpha \in F$

$$\alpha = \underbrace{a_1 \alpha_1 + \dots + a_n \alpha_n}_{\substack{\uparrow \\ \uparrow}}, \quad a_i \in K$$

But  $|K| = p \therefore$  are exactly  $p$  choices for each  $a_i$

$\therefore \exists p^n$  linear combinations of  $\alpha_i$ 's

$$\therefore |F| = p^n$$

Lemma | Let  $p$  be prime,  $D$  an integral domain  
 $\text{char}(D) = p$ . Then

$$(a+b)^{p^n} = a^{p^n} + b^{p^n} \quad \forall n \in \mathbb{N}, a, b \in D$$

Proof: induction, Binomial formula.  $\blacksquare$

Def | Let  $F$  be a field,  $f(x) \in F[x]$ ,  $\deg(f) = n$   
is separable iff it has  $n$  distinct roots  
in the splitting field of  $f(x)$

- An extension  $E$  of  $F$  is a separable extension of  $F$  iff every element in  $E$  is a root of a separable polynomial in  $F[x]$ .

Ex]  $x^2 - 2$  is separable over  $\mathbb{Q}$

$$x^2 - 2 = (x - \sqrt{2})(x + \sqrt{2})$$

$\mathbb{Q}(\sqrt{2})$  is in fact a separable extension:

all  $\alpha \in \mathbb{Q}(\sqrt{2})$  are of the form

$$\alpha = a + b\sqrt{2}, \quad a, b \in \mathbb{Q}$$

- $b=0 \Rightarrow \alpha$  is a root of  $x-a$ , which is separable
- $b \neq 0 \Rightarrow \alpha$  is a root of

$$x^2 - 2ax + a^2 - 2b^2 = (x - (a + b\sqrt{2}))(x - (a - b\sqrt{2}))$$

Let  $f(x) = a_0 + a_1x + \dots + a_nx^n \in F[x]$

Def | The derivative of  $f(x)$  is

$$f'(x) = a_1 + 2a_2x + \dots + na_nx^{n-1}$$

Lemma |  $f(x)$  is separable iff

$$\gcd(f(x), f'(x)) = 1$$

Proof: write  $f(x)$  in factored form in splitting field, take the derivative, check gcd.  $\square$

Thm | For every prime  $p$ , every  $n \in \mathbb{N}$   $\exists$  a finite field  $F$  with  $p^n$  elements; and any such  $F$  is isomorphic to the splitting field of  $f(x) = x^{p^n} - x$  over  $\mathbb{Z}_p$ .

Proof: Let  $F$  = splitting field of  $f(x) = x^{p^n} - x$

$$f'(x) = p^n x^{p^n-1} - 1 = -1$$

$\therefore \gcd(f(x), f'(x)) = 1 \quad \therefore f$  is a separable polynomial

$\therefore f$  has  $p^n$  distinct roots,

Show that  $F = \text{roots of } f(x)$

First show roots of  $f(x) = x^{p^n} - x$  form a subfield of  $F$ .

Check that  $0, 1, \alpha + \beta, -\alpha, \alpha\beta, \alpha^{-1}$  are roots of  $f(x)$  for any roots  $\alpha, \beta$  of  $f(x)$ .

$$\begin{aligned}(\alpha + \beta)^{p^n} - (\alpha + \beta) &= \alpha^{p^n} + \beta^{p^n} - \alpha - \beta \\ &= 0 \quad \therefore \alpha + \beta \text{ is a root.}\end{aligned}$$

$\therefore$  the set of roots of  $f(x)$  form a subfield of  $F$

and  $f(x)$  splits in this subfield  $\therefore$  the set of roots is the splitting field of  $x^{p^n} - x$ .

$\therefore$  Always exists a finite field with  $p^n$  elements

uniqueness (upto iso)

Suppose  $E$  is a field,  $|E| = p^n$ ,  $\Rightarrow |E^*| = p^n - 1$

multiplicative group of non-zero elements of  $E$ .

$$\therefore \forall \alpha \neq 0 \in E \quad \alpha^{p^n - 1} = 1$$

$$\therefore \forall \alpha \neq 0 \in E \quad \alpha^{p^n} - \alpha = 0$$

$\therefore E$  has all roots of  $f(x)$

$\therefore$  Since splitting fields are unique

$\Rightarrow E \cong$  splitting field of  $f(x)$ .

Def Galois field of order  $p^n =$  unique finite field with  $p^n$   
 $\cong \mathbb{F}(p^n)$   
 $=$  splitting field of  $x^{p^n} - x$  over  $\mathbb{F}_p$

Thm/ Every subfield of  $GF(p^n)$  has  $p^m$  elements where  $m|n$ . Conversely if  $m|n \exists$  a unique subfield of  $GF(p^n)$  isomorphic to  $GF(p^m)$

Proof:

$F$  a subfield of  $E = GF(p^n)$

$\Rightarrow F$  is an extension of  $K \cong \mathbb{Z}_p$

$\Rightarrow F$  contains  $p^m$  elements for some  $m \leq n$   
 $K \subseteq F \subseteq E$

$$[E:K] = [E:F][F:K]$$

$$n = [E:F] m$$

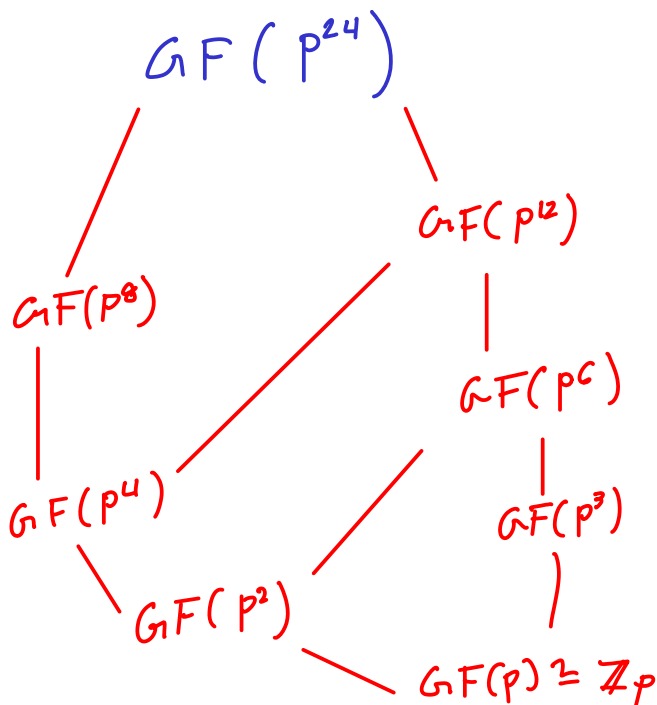
There was a typo here in the notes. (Fixed now).

point:  $[E:K]=n$  since we know that  $E$  is a dimension  $n$   $K$ -vector space from our earlier proof that constructed  $E$  as an extension field of  $\mathbb{Z}/p\mathbb{Z}$ .

$\Rightarrow m|n$  since  $[E:F]$  is an integer

conclude exercise

Ex]



For each field  $F$  we have a multiplicative group of non-zero elements  $F^*$ .

Thm | If  $G$  is a finite subgroup of  $F^*$  (for any  $F$ ) then  $G$  is cyclic.

cor |  $F^*$  is cyclic whenever  $F$  is a finite field.

cor | Every finite extension  $E$  of a finite field  $F$  is a simple extension

proof | Let  $\alpha$  generate  $E^*$   $\Rightarrow E = F(\alpha)$   $\square$

End of material for final

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## Field Automorphisms

- want to establish a link between field theory and group theory
- use automorphisms of fields = isomorphisms  $F \rightarrow F$ .

Proposition | The set of all automorphisms of a field

$F$  is a group under composition of functions.

proof |  $\tau, \sigma$  auto. of  $F \Rightarrow \sigma \tau, \sigma^{-1}$  are automorphisms as well, and id-map is an automorphism  $\square$

Prop/ Let  $E$  be a field extension of  $F$ . Then

the set of all automorphisms of  $E$  that fix all elements of  $F$ , i.e. the set  $\sigma: E \rightarrow E$  s.t.

$$\sigma(\alpha) = \alpha \quad \forall \alpha \in F$$

are a subgroup, denoted  $G(E/F)$ , of  $\text{Aut}(E) =$  group of Automorphisms of  $E$ .

Proof: need only show  $G(E/F)$  is a subgroup of  $\text{Aut}(E)$

If  $\sigma, \tau \in G(E/F)$

$$\Rightarrow \sigma\tau(\alpha) = \sigma(\alpha) = \alpha \quad \forall \alpha \in F$$

$$\text{and } \sigma^{-1}(\alpha) = \alpha, \text{ id} \in G(E/F) \quad \square$$

Def: The Galois group of  $E$  over  $F$  is

$$G(E/F) = \left\{ \sigma \in \text{Aut}(E) \mid \sigma(\alpha) = \alpha \quad \forall \alpha \in F \right\}$$