

Theorem / Let F be a field and let $p(x) \in F[x]$,
 $p(x)$ non-constant. Then there exists an
 extension field E of F and $\alpha \in E$ s.t.
 $p(\alpha) = 0$.

Proof: we may assume $p(x)$ is irreducible.

- want to find extension field E of F s.t. $p(\alpha) = 0$
 for some $\alpha \in E$

Consider

$$E = F[x] / \langle p(x) \rangle$$

$p(x)$ irreducible $\Rightarrow \langle p(x) \rangle$ is maximal $\therefore E$ is field.

- First show E is an extension field

$\deg(p(x)) \geq 1 \therefore$ we would expect $F \cong \{ a + \langle p(x) \rangle \mid a \in F \}$

Define a hom $\psi : F \rightarrow F[x] / \langle p(x) \rangle$
 $a \mapsto a + \langle p(x) \rangle$

$$\begin{aligned} \psi(a) + \psi(b) &= (a + \langle p(x) \rangle) + (b + \langle p(x) \rangle) \\ &= (a+b) + \langle p(x) \rangle = \psi(a+b) \end{aligned}$$

$$\begin{aligned}\psi(a)\psi(b) &= (a + \langle p(x) \rangle)(b + \langle p(x) \rangle) \\ &= ab + \langle p(x) \rangle = \psi(ab)\end{aligned}$$

Show ψ is 1-1

$$\text{rf } a + \langle p(x) \rangle = \psi(a) = \psi(b) = b + \langle p(x) \rangle$$

$$a - b + \langle p(x) \rangle = \langle p(x) \rangle$$

$$a - b \in \langle p(x) \rangle \quad \text{but } \deg(p(x)) \geq 1$$

$$\therefore a - b = 0 \quad \Rightarrow \quad a = b \quad \therefore \psi \text{ is 1-1}$$

$$\ker \psi = 0$$

\therefore By 1st iso. thm

$$F \cong \psi(F) = \{a + \langle p(x) \rangle \mid a \in F\}$$

\uparrow sub field of E

$\therefore E$ is an extension field of F

Now show $\exists \alpha \in E$ s.t. $p(\alpha) = 0$

Take $\alpha = x + \langle p(x) \rangle \in E$

$$p(x) = a_0 + a_1x + \dots + a_nx^n \in F[x]$$

Evaluate at α

$$\begin{aligned}
 p(\alpha) &= a_0 + \langle p(\alpha) \rangle + a_1 (x + \langle p(x) \rangle) + \dots + a_n (x + \langle p(x) \rangle)^n \\
 &= a_0 + \langle p(\alpha) \rangle + a_1 (x + \langle p(x) \rangle) + \dots + a_n (x^n + \langle p(x) \rangle) \\
 &= (a_0 + a_1 x + \dots + a_n x^n) + \langle p(x) \rangle \\
 &= p(x) + \langle p(x) \rangle = 0 + \langle p(x) \rangle \\
 &= 0 \in F[x] / \langle p(x) \rangle
 \end{aligned}$$

mult. in $F[x] / \langle p(x) \rangle$

$$\begin{aligned}
 (g(x) + \langle p(x) \rangle) (f(x) + \langle p(x) \rangle) \\
 = g(x) f(x) + \langle p(x) \rangle
 \end{aligned}$$

$\therefore p(\alpha) = 0$ in E .

$\therefore \alpha$ is a zero of $p(x)$ in E

:)

Ex] Let $p(x) = x^5 + x^4 + 1 \in \mathbb{Z}_2[x]$

$$= \underbrace{(x^2 + x + 1)}_{f(x)} (x^3 + x + 1)$$

to find an extension field E s.t. $p(x)$ has a root in E
take

$$E = \mathbb{Z}_2[x] / \langle x^2 + x + 1 \rangle$$

or

$$E = \mathbb{Z}_2[x] / \langle x^3 + x + 1 \rangle$$

\downarrow

$$\alpha = x + \langle x^2 + x + 1 \rangle$$

$$f(\alpha) = x^2 + \langle f(x) \rangle + x + \langle f(x) \rangle + 1 + \langle f(x) \rangle$$

$$= (x^2 + x + 1) + \langle f(x) \rangle = f(x) + \langle f(x) \rangle =$$

$$\therefore \alpha \text{ is a root in } E. \quad = 0 + \langle f(x) \rangle$$

Algebraic Elements

E an extension field over F .

• An element $\alpha \in E$ is said to be algebraic if \exists a polynomial $f(x) \in F[x]$ s.t. $f(\alpha) = 0$, $f(x) \neq 0 \in F[x]$

• E is algebraic over F if for all $\alpha \in E$

there exists some $f(x) \in F[x]$ such that $f(\alpha) = 0$, $f(x) \neq 0$

In this case E is an algebraic extension of F

• If E is a field extension of F , $\alpha_1, \dots, \alpha_n \in E$

$F(\alpha_1, \dots, \alpha_n) =$ smallest field containing $\alpha_1, \dots, \alpha_n$

$L =$ concretely, this is the field of fractions of $F[x_1, \dots, x_n]$ evaluated at $x_i = \alpha_i$.

• $E = F(\alpha)$ for some $\alpha \in E$

E is a simple extension of F .

• If an element $\alpha \in E$ is not algebraic it is transcendental.

Ex] $\sqrt{2}$ is a zero of $x^2 - 2$

i is a zero of $x^2 + 1$

$\therefore \sqrt{2}, i$ are algebraic elements over \mathbb{Q}

$\mathbb{Q}(\sqrt{2}), \mathbb{Q}(i)$ is an algebraic extension

π, e are algebraic over \mathbb{R} (or \mathbb{C})

but are transcendental over \mathbb{Q}

Almost all real numbers are transcendental over \mathbb{Q} .

Def: | A complex number α that is algebraic over \mathbb{Q} is an algebraic number, otherwise α is a transcendental number.

Ex) $\alpha = \sqrt{2 + \sqrt{3}}$ is algebraic over \mathbb{Q}

$$\begin{aligned} \alpha^2 = 2 + \sqrt{3} &\Rightarrow (\alpha^2 - 2)^2 = 3 \\ &= \alpha^4 - 4\alpha^2 + 1 = 0 \end{aligned}$$

$\therefore \alpha$ is a root of $f(x) = x^4 - 4x^2 + 1 \in \mathbb{Q}[x]$.

Thm 1 | Let E be an extension field of F , $\alpha \in E$ is transcendental over F if and only if

$$F(\alpha) \cong F(x)$$

↑ Field of rational functions, i.e. field of fractions of $F[x]$.

Proof:

$$\begin{aligned} \phi_\alpha: F[x] &\rightarrow E \\ f(x) &\mapsto f(\alpha) \end{aligned}$$

By def. α is transcendental over F iff

$$\phi_\alpha(p(x)) = p(\alpha) \neq 0 \quad \forall p(x) \neq 0 \in F[x]$$

This is true iff and only iff

$$\ker \phi_\alpha = \{0\}, \text{ i.e. iff } \phi_\alpha \text{ is 1-1}$$

If Φ_α is 1-1 $\Rightarrow F[x] \cong \Phi_\alpha(F[x]) = F[\alpha] \subseteq E$

So α is transcendental iff E contains a subring isomorphic to $F[x]$

The smallest field containing $F[x]$ is $F(x)$ ↙ field of fractions

\therefore by Thm 18.4, $F(x) \subseteq E$

$$\therefore F(\alpha) \cong F(x)$$

↑ By def. the smallest field containing α , i.e. containing all polynomial expressions of α with coefficients in F .

[goal: explicit description of $F(\alpha)$ as a quotient ring] #

Thm 1 Let E be an extension field of F , $\alpha \in E$ algebraic over F . Then there exists a unique

irreducible monic polynomial $f(x) \in F[x]$ of smallest degree s.t. $f(\alpha) = 0$, and if $g(x) \in F[x]$, $g(\alpha) = 0$

$$\Rightarrow f(x) \mid g(x)$$

Proof: $\alpha \in E$ alg. over F

$$\text{Let } \Phi_\alpha : F[x] \rightarrow E \\ f(x) \mapsto f(\alpha)$$

$\ker \Phi_\alpha = \langle f(x) \rangle$ with $\deg(f(x)) \geq 1$ for some $f(x) \in F[x]$.

↑ Since $F[x]$ is a PID and α is algebraic

$$\therefore \ker(\Phi_\alpha) \neq 0$$

So let $f(x)$ have least degree s.t. $f(\alpha) = 0$

$\langle f(x) \rangle$ consists exactly of all $g(x) \in F[x]$ s.t. $g(\alpha) = 0$

Since $= \ker \phi_\alpha$

\therefore if $g(\alpha) = 0, g \neq 0, \Rightarrow g(x) \in \langle f(x) \rangle \therefore f(x) | g(x)$

Note $f(x)$ is irreducible since if $f(x) = r(x)s(x)$

for r, s , lower degree $\Rightarrow f(\alpha) = r(\alpha)s(\alpha) = 0$

$\Rightarrow r(\alpha) = 0$ or $s(\alpha) = 0$

which is a contradiction, since $f(x)$ has minimal degree s.t. α is a root.

Show $f(x)$ monic, unique

$$f = a_n x^n + \dots + a_1 x + a_0$$

So if $f(x)$ is not monic, take $\tilde{f}(x) = \frac{f(x)}{LC(f)} = \frac{f}{a_n}$

$$\langle f(x) \rangle = \langle \tilde{f}(x) \rangle$$

\therefore Assume $f(x)$ is monic.

Uniqueness: Suppose $\langle f(x) \rangle = \langle g(x) \rangle$

$\Rightarrow f(x) = \beta g(x)$ But both f, g monic

$\therefore \beta = 1$ and $f(x) = g(x)$. \square

Def: Let E be an extension field of F , $\alpha \in E$ alg. over F . The unique monic polynomial $f(x)$ in thm. above is called the minimal polynomial for α over F .

the degree of α over $F = \deg(f_\alpha)$

Ex | $x^2 - 2$ is the minimal poly. of $\sqrt{2}$ over \mathbb{Q}
• $x^2 + 1$ is min. poly. of i over \mathbb{Q} (or over \mathbb{R})
 $\sqrt{2}, i$ have degree 2 over \mathbb{Q} .

Prop | Let E be a field extension of F , $\alpha \in E$ alg. over F . Then $F(\alpha) \cong F[x] / \langle p(x) \rangle$ where $p(x)$ is the minimal polynomial of α over F .

Proof:

$$\begin{aligned} \phi_\alpha : F[x] &\longrightarrow E \\ f(x) &\longmapsto f(\alpha) \end{aligned}$$

$$\ker(\phi_\alpha) = \langle p(x) \rangle$$

↑ where p is min poly. of α . (from last proof)

By 1st iso theorem

$$F[x] / \langle p(x) \rangle \cong \phi_\alpha(F[x]) = F(\alpha)$$

Since $\alpha \in \phi_\alpha(F[x])$ $\alpha = \phi_\alpha(x)$

and $\phi_\alpha(F[x])$ is a field

Since it's isomorphic to a field

check that \exists no smaller field inside $\phi_\alpha(F[x])$.

Suppose E is a field containing α , s.t. $E \neq \Phi_\alpha(F[x])$

Suppose $\beta \in \Phi_\alpha(F[x])$, $\beta \notin E$, $\beta = f(\alpha)$ for f a poly, i.e. they are F -lin. combinations of powers of α , but every power of α , and every F linear combo of α must be in E since E is a field containing F and α .

if β is not in $E \Rightarrow E$ is not a field, which is a contradiction $\therefore E = F(\alpha) = \Phi_\alpha(F[x])$. \square

Thm 1 $E = F(\alpha)$ \leftarrow simple extension, $\alpha \in E$ algebraic over F .

Suppose degree of α over F is n . Then every element $\beta \in E$ can be expressed uniquely in the form

$$\beta = b_0 + b_1 \alpha + \dots + b_{n-1} \alpha^{n-1}$$

for $b_i \in F$. That is, $F(\alpha)$ is a F -vector space of dim. n , with basis $\{1, \alpha, \dots, \alpha^{n-1}\}$.

Proof:

$$\Phi_\alpha(F[x]) \cong F(\alpha) \quad \therefore \text{every } \beta \in F(\alpha)$$

$$\text{is } \beta = \Phi_\alpha(f(x)) = f(\alpha) \quad \leftarrow \text{Poly. in } \alpha, \text{ with coefficients in } F$$

Let $p(x) = x^n + a_{n-1}x^{n-1} + \dots + a_0$ be the minimal poly of α

$$p(\alpha) = 0 \quad \therefore \quad 0 = \alpha^n + a_{n-1}\alpha^{n-1} + \dots + a_0$$

$$\Rightarrow \alpha^n = -a_{n-1}\alpha^{n-1} - \dots - a_0$$

Now note

$$\begin{aligned} \alpha^{n+1} &= \alpha \alpha^n = -a_{n-1} \alpha^n - a_{n-2} \alpha^{n-1} - \dots - a_0 \alpha \\ &= a_{n-1} (a_{n-1} \alpha^{n-1} + \dots + a_0) - a_{n-2} \alpha^{n-1} - \dots - a_0 \alpha \end{aligned}$$

By induction α^m , $m \geq n$ can be written as a F linear combination of $1, \alpha, \dots, \alpha^{n-1}$

$$\therefore \beta = b_0 + b_1 \alpha + \dots + b_{n-1} \alpha^{n-1} \quad \text{for all } \beta \in F(\alpha)$$

Now show \uparrow rep of $\beta \in F(\alpha)$ is unique

$$\beta = b_0 + b_1 \alpha + \dots + b_{n-1} \alpha^{n-1} = c_0 + c_1 \alpha + \dots + c_{n-1} \alpha^{n-1}$$

$$g(x) = (b_0 - c_0) + (b_1 - c_1)x + \dots + (b_{n-1} - c_{n-1})x^{n-1} \in F[x]$$

$$g(\alpha) = \beta - \beta = 0 \quad \text{but } \deg(g(x)) < \deg(p(x))$$

$$\Rightarrow g(x) = 0 \quad \text{since } p(x) \text{ is minimal poly of } \alpha.$$

$$\therefore b_i = c_i$$

Ex] $E = \mathbb{R}[x] / \langle x^2 + 1 \rangle \leftarrow \text{field extension of } \mathbb{R}$
 $= \mathbb{R}(i)$

contains a root $\alpha = x + \langle x^2 + 1 \rangle$ of $x^2 + 1$

$$\alpha^2 = x^2 + \langle x^2 + 1 \rangle = -1 + \langle x^2 + 1 \rangle$$

$$\therefore \alpha^2 = -1 \text{ in } E$$

$$\phi: \mathbb{R}(\alpha) \rightarrow \mathbb{C} \\ a+b\alpha \rightarrow a+bi \quad \text{is an iso morphism.}$$

$\therefore \mathbb{C}$ is a degree two field extension of \mathbb{R} .

Def If E is an extension field of F which is a finite dimensional v. space over F s.t. $\dim = n$ we say E is a finite extension of degree n over F write $[E:F] = n$.

Thm If E is a finite extension of F , then E is an algebraic extension.

Proof:

Let $\alpha \in E$, $[E:F] = n$

then $1, \alpha, \dots, \alpha^n$ can not be linearly independent.

$\therefore \exists a_i \in F$, not all zero, s.t

$$a_n \alpha^n + a_{n-1} \alpha^{n-1} + \dots + a_1 \alpha + a_0 = 0$$

$\therefore p(x) = a_n x^n + \dots + a_1 x + a_0 \in F[x]$ is a non-zero polynomial and $p(\alpha) = 0 \therefore E$ is an algebraic extension

□